

Line of Sight Reporting – via effective self-assessment/quality assurance

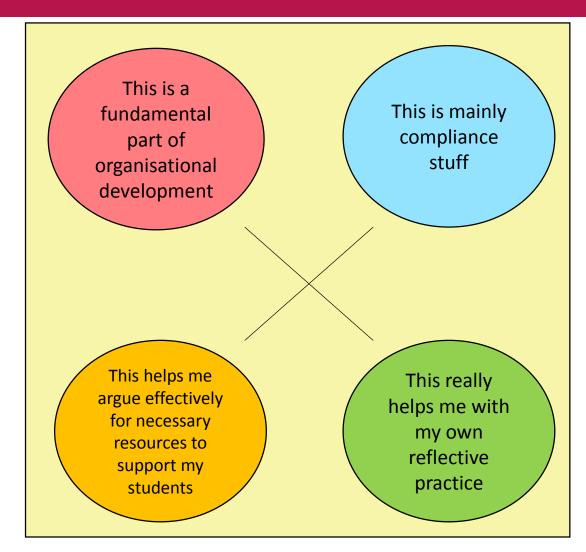
Dr Kara Scally-Irvine

Lets get warmed up!



How do you see 'self-assessment' or 'quality assurance/self-review' processes in the context of your job?

- What does the term 'selfassessment' or 'quality assurance/self-review' mean to you?
- What does it mean to your organisation?



Why?



Quality assurance/self-assessment is an <u>ongoing</u> process a [provider] uses to gain evidence of its own effectiveness in providing quality education.



"Quality assurance aims to help providers enhance the quality of the ACE they deliver and to seek continuous improvement. It will also give learners, community and government confidence that the ACE provided is of good quality"

"Self-assessment is a key component of NZQA's evaluative quality assurance framework.

Evaluative self-assessment requires a TEO to evaluate itself, by focusing on how well it is identifying, responding to and meeting learner and stakeholder needs, and taking appropriate action in light of the understanding gained."

What this means in practice



The key to "a successful EER" is effective selfassessment that drives educational and organisational performance effectively and produces clearly evidenced beneficial results for students and stakeholders

ACE	EQAF
Attestation	External Evaluation and Review
Quality Assurance / self- review <u>ongoing</u>	Evaluative self- assessment <u>ongoing</u>

Why do it?



- If you track results, you can tell success from failure
- If you see success, you can reward it
- If you see success (and failure), you can learn from it
- If you recognise failure, you can correct it
- If you demonstrate results you can win public support

(adapted from Osborne and Gaebler 1992)



Success is knowing and understanding



Outcomes	Knowing what is being achieved and the value for learners and other stakeholders				
Needs assessment	The extent to which [providers] systematically determine and address the needs of learners and other stakeholders				
Process and practices	That help to achieve outcomes, e.g. good teaching, effective learner support services				
Learner achievement	The impact of educational provision on learner progress and achievement				
Using what is learned	Evidence-based conclusions and decision-making used to inform strategic and business planning – leads to positive change				
Actual improvement	The extent to which improvements made are relevant and worthwhile				

Priorities and outcomes	The ACE provider has priorities and outcomes specifically for ACE and systems for their review
Learner outcomes, needs and information	The ACE provider identifies learners' outcomes, needs and supplies adequate and appropriate information to ACE learners
Systems to achieve outcomes and priorities	The ACE provider has a documented quality management system and uses it to achieve its ACE outcomes and priorities
Personnel	The ACE provider recruits, manages and develops its paid and unpaid personnel to achieve its ACE priorities and outcome
Physical and learning resources	The ACE provider has adequate and appropriate physical and learning resources to achieve its ACE priorities and outcomes
Development, delivery and review of ACE activities	The ACE provider adequately and appropriately designs, develops, delivers and review its ACE activities consistent with its ACE priorities and outcomes and in response to identified community learning needs

What does effective evaluative self-assessment look like?



It looks like....



Key Success Factors



- Leadership from management, but that focuses on supporting – not directing – staff.
- Clarity of vision at all levels.
- Developing structures and processes that reflect and are driven by the organisation's context.
- Creating an 'information-friendly' environment.

www.nzqa.govt.nz/providers-partners/self-assessment/make-self-assessment-happen/tools-and-resources/case-studies-in-self-assessment/

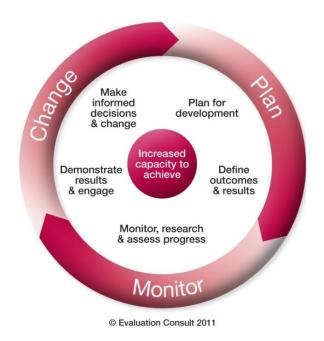


What does all this actually mean?



Systematic collection of evidence to support continuous learning and improvement

- Linking evaluative thinking and practice throughout cycle
- Enables informed decision-making
- Systematic collection of evidence at multiple levels/layers of the organisation
- Part of everyone's everyday business
- Emphasises learning and improvement, as well as accountability and risk mitigation.



And..... Be able to tell your story better!









The facts are coming! The facts are coming!

How do we do it?

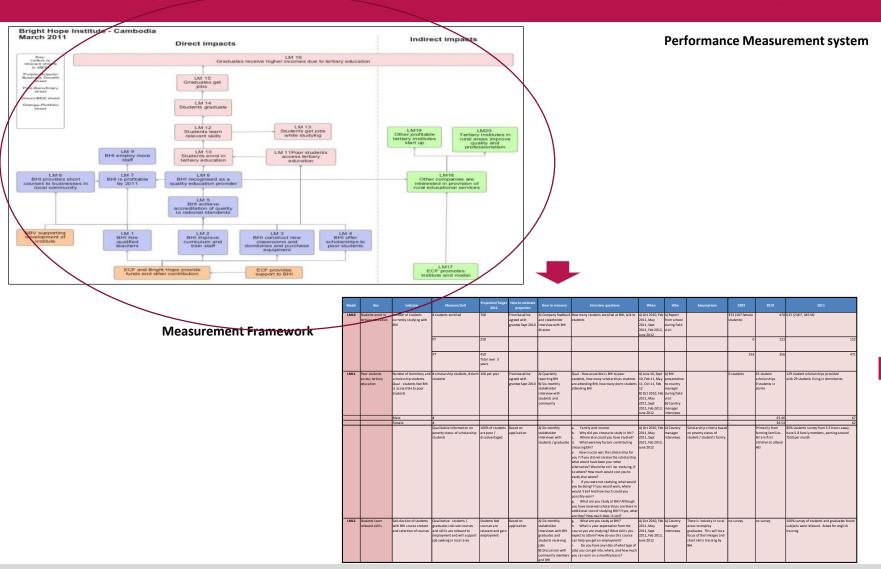
Systematically, using a framework that assists us to:

- Identify and then track the 'right' performance information
- Ask the right questions



Integrated/systematic way of working



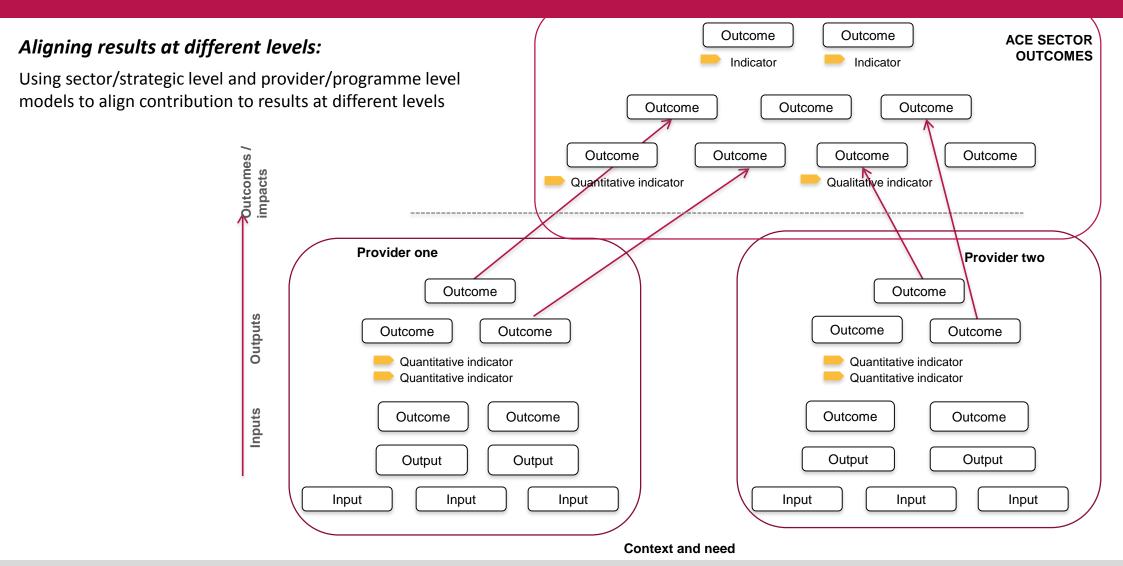




Reporting

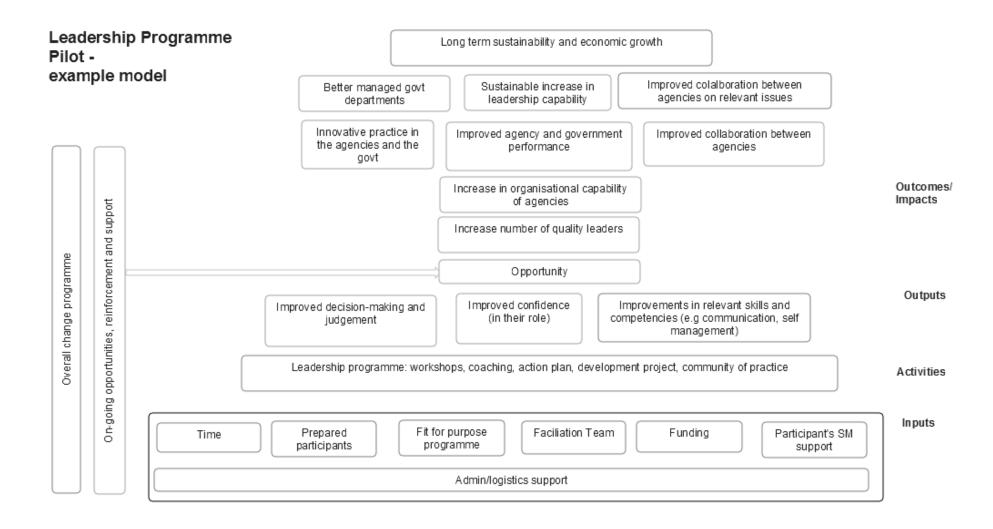
Systematic tool to get 'line of sight'





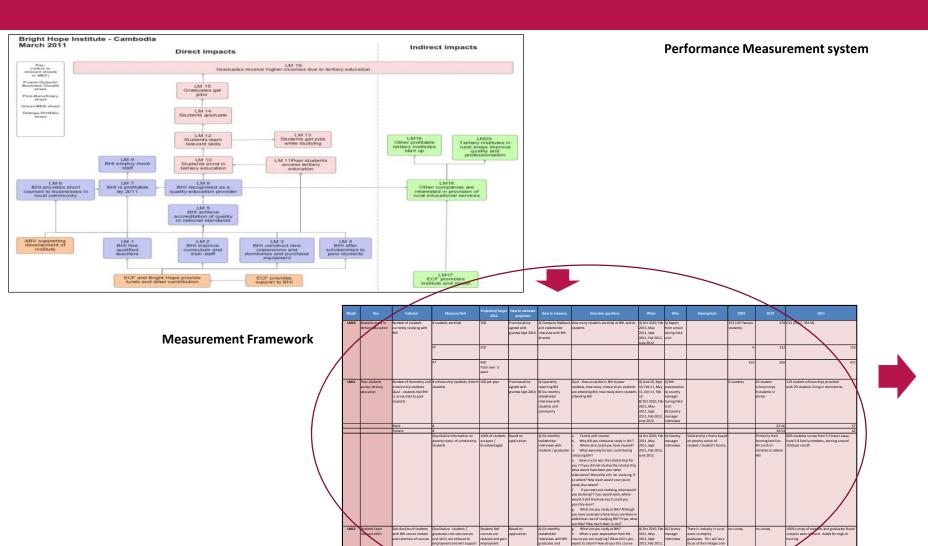
An example



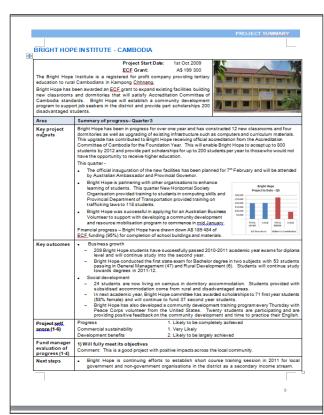


From model to measure





seeking in local area



Reporting

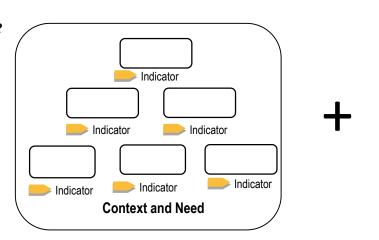
The core components

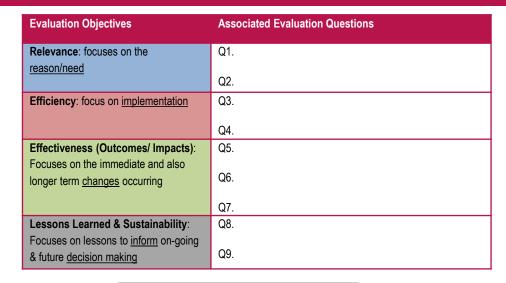


Asking the 'Right'

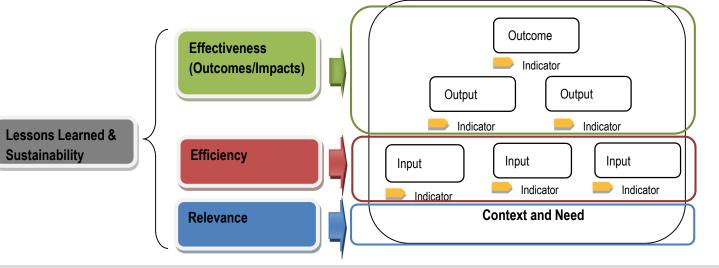
Questions

Identifying the 'Right' performance information





A robust framework



What counts as good evidence?



- Collecting the 'right' information
- Systematically recorded or documented
- Diverse sources (observation, documents, images, quotes etc)
- Information inside people's heads doesn't count as evidence

YOU KNOW WHO'S BEEN NAUGHTY AND WHO'S BEEN NICE? - YOU'RE NOT USING **DNA** EVIDENCE, ARE YOU?



Data: Qualitative & Quantitative





(usually) Quantitative

- Can be measured specifically
- Shows progress towards or achievement of targets

Qualitative

- Descriptive, observed but not measured
- Richer understanding of the why
- Coding interviews
- Emerging findings themes against questions triangulation
- Progress against the model
- Unintended outcomes/impacts

Informed decisionmaking and change

- Is the program relevant?
- Efficient?
- Effective?
- Sustainable?
- Having an impact?
- Is this positive? Negative? Intended? Unintended?
- So what does this mean?
 - Do we need to make adjustments?
 - What are the implications?

WHAT + WHY – useful resources



Tertiary Evaluation Indicators

Outcome indicators

Graduates gain employment, engage with further study and/or contribute positively to their local and wider

Learners complete courses and gain formal qualifications.

Learners acquire useful/meaningful skills and knowledge and develop their cognitive abilities (including learning to learn and self management)

Learners improve their well-being and enhance their abilities and attributes

Knowledge is created and disseminated.

Community/iwi development is supported.

Facilitating learning

Comprehensive and timely study information and advice is provided.

Continued support is provided to assist learners pursue their chosen pathways.

Fostering effective environments

Learning environments are structures for the benefit and needs of learners.

Responses to the wellbeing needs of learners are appropriate.

Teachers and learners relate effectively to one

Learning activities and resources are effective in engaging learners

Learners have opportunities to apply knowledge and skills in a variety of contexts.

Minimising barriers to

The learning environment is inclusive.

Policies and procedures minimise barriers to learning

Assessment supports learning

Assessment is valid, sufficient, fair and transparent.

Assessment provides learners and teachers with useful feedback on progress.

There is active engagement with communities.

Stakeholders are clearly identified and engagement is appropriate and ongoing

Stakeholders are informed of developments, barriers and possibilities.

Effective stakeholder and community engagement is encouraged at all levels in the TEO.

Programmes maintain relevance to stakeholders and communities

Programme planning is informed by ongoing needs analysis.

Programmes reflect changes in subject content, resources. teaching practice and technologies.

Purpose and direction is

Leadership is effective.

Resources are allocated to support learning, teaching and research.

Policies and practices are legal and ethical.

Recruitment and development of staff is effective.

Staff are valued.

Anticipating and responding to change

Self-assessment is ongoing, comprehensive, authentic, transparent, and robust - and leads to worthwhile improvement.

Balancing innovation and continuity

Key Evaluation Questions

- How well do learners achieve?
- What is the value of the outcomes for key stakeholders, including learners?
- How well do programmes and activities match the needs of learners and other stakeholders?
- How effective is the teaching?
- How well are learners guided and supported?
- How effective are governance and management in supporting educational achievement?

SO WHAT? Key questions for assessing and reporting



Progress

- 1. What is or has happened so far?
- 2. What progress is being made (inputs, outputs, changes, outcomes and impacts)
- 3. Did performance improve at multiple levels?
- 4. Are the changes emerging in the way we hoped?

Reflections & Implications

- 1. What were the causes of progress?
- 2. What did we get that we were not expecting?
- 3. What are lessons learned?
- 4. Can we sustain the pace?
- 5. Do adjustments need to occur?

Demonstrate, report and <u>use</u> results



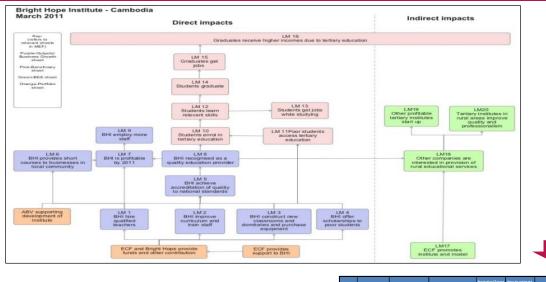
Project Start Date: 1st Oct 2009

A\$ 199 300

ECF Grant:

The Bright Hope Institute is a registered for profit company providing tertian

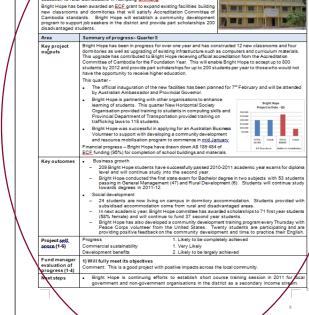
education to rural Cambodians in Kampong Chhnang.



Performance Measurement system

Measurement Framework

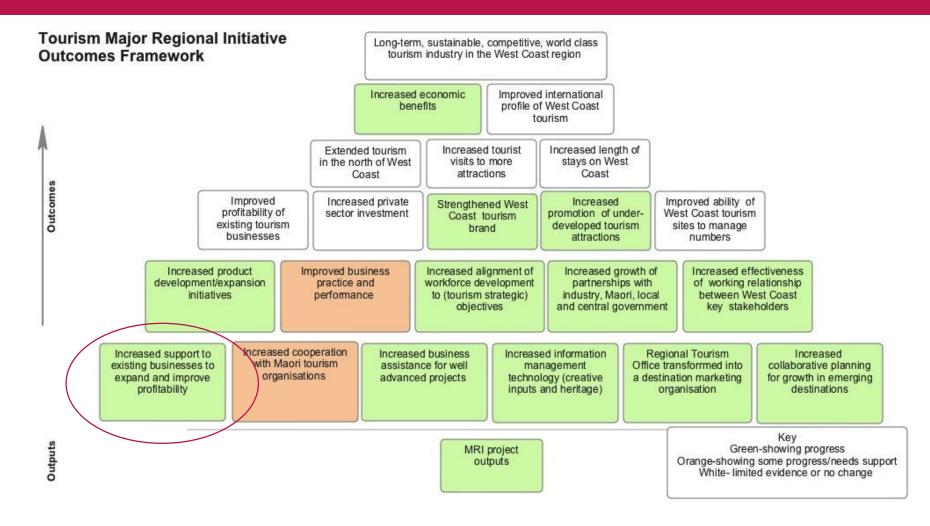
Model	Box	Indicator	Measure/Unit	Projection/Target 2012	How to estimate projection	How to measure	Interview questions	When	Who	Assumptions	2009	2010	2011
LM10	Students enrol in tertiary education	Number of students currently studying with BHI	# students enrolled		From baseline agreed with grantee Sept 2010	A) Company feedback and stakeholder interview with BHI director	How many students enrolled at BHI, talk to students		from school during field		353 (107 female students)		623 (238 F, 385 M)
			FT	250							0	122	152
				450 Total over 3 years							353	356	471
LM11	Poor students access tertiary education	Number of dormitory and scholarship students. Qual - students feel BHI is accessible to poor students	# scholarship students, # dorm students		From baseline agreed with grantee Sept 2010	A) Quarterly reporting BHI B) Six-monthly stakeholder interview with students and community	Qual - How accessible is BHI to poor students, how many scholarships students are attending BHI, how many dorm students attending BHI	12 B) Oct 2010, Feb 2011, May 2011, Sept	presentation to country manager		0 students	63 student scholarships 0 students in dorms	129 student scholarships provided with 29 students living in dormitories
		Male	2									63.46	67
		Female										36.54	62
				100% of students are poor / disadvantaged	Based on application	A) Six monthly stakeholder interviews with students / graduates	a. Family and income: b. Why did you choose to study in bit? C. Where view could you have studied? C. Where view could you have studied? Controlling bit? B. How could have the school arriph for your 1 fly you did not receive the school arriph for your 1 fly you did not receive the school arriph what would have been your other standards with your through the school fly will be suffered to where it have much would cot you to to where it have much would cot you to to grant you have been your other you would work, where would to be a hold grant for you would work, where would to be a hold grant for you you should you would work, where would to be a hold you be doing? If you would work, where would to be a hold you such to you got still you grant? G. What are you such such as it is in Albrough to you would you would you will not	2011, May 2011, Sept 2011, Feb 2012, June 2012	manager Interviews	Scholarship criteria based on powerty status of student / student's family.		Primarily from Larming families. All are first children to attend HEI	IROS Students survey from 3.5 hours away, have 3.6 tankly members, earning around \$700 per record.
LM12	Students learn relevant skills		graduates indicate courses and skills are relevant to		Based on application	A) Six monthly stakeholder interviews with BHI graduates and students receiving jobs B) Discussion with community members	6. What are you study at BH? N. What is your expectation from the course you are studying? What skills you expect to attain P alow do you this course can help you get an employment? Do you have any idea of what type of jobs you can earn on a monthly basis? 	A) Oct 2010, Feb 2011, May 2011, Sept 2011, Feb 2012, June 2012	A) Country manager interviews	There is industry in rural areas to employ graduates. This will be a focus of the linkages and short skills training by BHI.	no survey	no survey	100% survey of students and graduates found subjects were relevant. Asked for english training



Reporting

Linking the model to the evidence









*	Outcomes (from outcomes model)	Evidence
	Increased support to existing businesses to expand and improve	Most participants (75%) reported the MRI has provided an increase in the support to existing businesses, or there had been some progress towards this, e.g. Ross Goldfields while one in five (19%) reported this was not the case.
	profitability	Some participants commented on how the support received provided direction/ catalyst for going forward, e.g. Denniston. Conversely, some stakeholders raised concerns regarding the business advice and support received from DWC.
8		Others reported using the documents/reports as a platform for further development.
	Increased cooperation with Māori tourism organisations	Only one in five participants (21%) reported the MRI has increased cooperation with Māor tourism organisations, while the remainder (79%) reported this was not the case or were unsure.
	Increased business assistance for well-advanced projects	■ Two out of three participants (65%) reported the MRI has increased business assistance for well-advanced projects, e.g. Oparara, while the remainder (35%) reported this was not the case or were unsure.
		Some participants commented on how the business assistance enabled some projects to "get across the line". Others commented on the value of the overall support provided to tourism operators.
	Increased information management technology (creative inputs and heritage)	 Three out of five participants (61%) reported the MRI has increased investment in, and use of, information management technology while the remainder (41%) reported this was not the case or were unsure. Increased investment in IT was clearly evident in the regional visitor strategy i-SITEsproject.

Reporting - project level

Oparara Walkway

A huge community drive by key personnel, along with support from DOC and a dedicated technical team of contractors, has produced a unique visitor experience.

The tracks have also given the competitive and leisure cyclist another venue for excitement.

An increase in visitor numbers, both young and old, is evident.

The site is easily accessible.



Area	Progress				
Inputs	 DWC \$600,000; NZTE \$199,999.95; DOC \$200,000; Buller District Council \$200,000; Significant Community Based Project Fund (SCBPF) \$1,683,226: Final. 				
	The Oparara Valley Project Trust has been involved.				
	There was prior community participation, and the project was underway.				
	A project manager was seconded from DOC, which also provided technical advice and a track construction team.				
Key outputs	Tracks, interpretation signage, shelter and toilet facilities are completed.				
	Cameras are installed in the car park to monitor use.				
	There is now a bus service to and from the track.				
Key outcomes	The ability to attract tourists has improved and this is supported by the website.				
	Training sessions have developed new skills for track workers.				
	Cycling and triathlete events now use the track.				
	Usage of car parking area and loop track has exceeded expectations.				
Next steps	It is important that the community drive is sustained to maintain existing tracks and to develop the next phase.				

BRIGHT HOPE IN STITUTE - CAMBODIA

Project Start Date: 1st Oct 2009 ECF Grant: A\$ 199 300

The Bright Hope Institute is a registered for profit company providing tertiary education to rural Cambodians in Kampong Chhnang.

Bright Hope has been awarded an ECE grant to expand existing facilities building new classrooms and dormitories that will satisfy Accreditation Committee of Cambodia standards. Bright Hope will establish a community development program to support job seekers in the district and provide part scholarships 200 disadvantaged students.



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Area	Summary of progress- Quarter 5	•						
Key project oul puts	Bright Hope has been in progress for over one year and has constructed 12 new classrooms and four dormitories as well as upgrading of existing infrastructure such as computers and curriculum materials. This upgrade has contributed to Bright Hope receiving official accreditation from the Accreditation Committee of Cambodia for the Foundation Year. This will enable Bright Hope to accept up to 800 students by 2012 and provide part scholarships for up to 200 students per year to those who would not have the opportunity to receive higher education. This quarter -							
	The official inauguration of the new facilities by Australian Ambassador and Provincial G Bright Hope is partnering with other organis learning of students. This quarter New Hor Organisation provided training to students in Provincial Department of Transportation protrafficking laws to 118 students.	Bright Hope Project to Date - QS						
	Bright Hope was successful in applying for Volunteer to support with developing a com and resource mobilisation program to comm	munity development nence in <u>mid January</u> .	304.000 304.000 54.000 TOTAL Armad 30144 Armad GARATT 8000K Armad					
	Financial progress – Bright Hope have drawn do ECF funding (95%) for completion of school buil		EU Drawdown Bidder Co-Contribution					
Key outcomes	Business growth 209 Bright Hope students have successfully passed 2010-2011 academic year exams for diplom level and will continue study into the second year. Bright Hope conducted the first state exam for Bachelor degree in two subjects with 53 student passing in General Management (47) and Rural Development (6). Students will continue stud towards degrees in 2011-12. Social development 24 students are now living on campus in dormitory accommodation. Students provided wit subsidised accommodation come from rural and disadvantaged areas. In next academic year, Bright Hope committee has awarded scholarships to 71 first year students (68% female) and will continue to fund 37 second year students. Bright Hope has also developed a community development training program every Thursday wit Peace Corps volunteer from the United States. Twenty students are participating and at providing positive feedback on the community development and time to practice their English							
Project self score (1-6)	Commercial sustainability 1. Ve	ely to be completely acl ry Likely ely to be largely achieve						
Fund manager evaluation of progress (1-4)	Will fully meet its objectives Comment: This is a good project with positive impacts across the local community.							
Next steps	Bright Hope is continuing efforts to establish short course training session in 2011 for loc government and non-government organisations in the district as a secondary income stream.							

What does success look like?



This approach represents a 'way of working'

Collaborative

working with stakeholders, managing expectations

Fit for purpose

relevant, strengths-based, 'good enough'

Context focused

- Context (e.g. culture) informs the approach
- > Helps make it part of business as usual





Critical success factors – our experience

- Having internal champions in senior management
- Understanding context/need including cultural elements
- Using working groups across organisation (cross-functional teams)
- Being included during the planning/development phase wherever possible
- Documenting the approach (in an implementation or 'way of working' plan)
- Be explicit about accountabilities and responsibilities to ensure it 'goes round the cycle'



Discussion.....



- What are you doing well?
- What areas can be improve on?
- What changes, if any, might you go back to work and implement?



Further information and support



- Public workshop programme on evaluative selfassessment (via Ako PD programme)
 - Evaluative self-assessment for better learning and better outcomes (practitioner – full day)
 - Using evaluative self-assessment to manage organisational success (managers – half-day)
- In-house workshops (arranged via Ako Regional Hub Manager)
- Tailored follow-up support



https://akoaotearoa.ac.nz/pd-programme/evaluativeself-assessment-practitioners

https://akoaotearoa.ac.nz/pd-programme/evaluative-self-assessment-organisations

www.evaluationconsult.com



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Thank you!